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Seminar Curriculum on Financial Services Law

Law Pronto Seminars

The law is very wide, and one cannot master to expertise all disciplines. The traditional law schools and institutes offer broad and lengthy content, which do not provide the required expertise, in the necessary time. In addition, the one-off trainings by various organizations lack a continuity and coverage of entire areas of specialization in law. The above gaps are filled by Lawpronto.com, a global franchise that offers specialised legal seminars.

Seminar Questions

The training takes a Socratic method of asking questions in real life, under the following thematic areas:

- ↳ *What is the law? (Introducing Law)*
- ↳ *What are the definitions of this area of law?*
- ↳ *What are the statutes regulating this area of law?*
- ↳ *What are the precedents/case laws in this area of law?*
- ↳ *What are the international/regional agreements in this area of law?*
- ↳ *What are the legal documents/contracts/agreements in this area of law?*
- ↳ *What are the principles, doctrines, maxims, rules, and tests in this area of law? (Legal Theories)*
- ↳ *What are the institutions in this area of law, e.g. executive, legislature, judiciary, etc? (Legal Systems)*
- ↳ *What are the procedures in this area of law? (Legal Methods)*

Target Participants

Given the practical exercises on legal practice, and challenge questions, coupled with changing fact of case study, all current and future practitioners of law will find the seminar immediately relevant. Our past participants have included students of law, lawyers, adjudicators and non lawyers doing legal work, who are interested in building their expertise and becoming experts.

Course Content

INTRODUCTION TO FINANCIAL SERVICES LAW

- ↳ Definition and Scope of Financial Services
- ↳ Sources of Financial Services Law

FINANCIAL INSTITUTIONS

- ↳ Types Of Financial Institutions
- ↳ International Financial Institutions
- ↳ International Investment Institutions
- ↳ Micro Finance Institutions/Micro Deposit Taking institutions
- ↳ Cooperatives (Saccos & Multi-Purpose Cooperatives)

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- ↳ Financial System & Payment Systems
- ↳ Tiers of Financial Institutions
- ↳ Investment Intermediary Institutions
- ↳ Investment Companies
- ↳ Banks & Credit Institutions
- ↳ Non-depository Institutions
- ↳ Insurance Companies
- ↳ Money Lenders
- ↳ Forex Bureau

FINANCIAL INDUSTRY REGULATION

- ↳ Rationale for Financial Regulation
- ↳ Financial Consumer Protection
- ↳ Regulatory Institutions
- ↳ Central Bank
- ↳ Central Bank Regulatory Tools
- ↳ Bank Regulation
- ↳ International Banking Regulations
- ↳ Currencies
- ↳ Money Laundering
- ↳ Regulated Institutions/Regulated Individuals/ Supervised Financial Institutions
- ↳ Non Supervised Financial Institutions
- ↳ Fiduciary Responsibilities
- ↳ Securities Regulation

BANKING

- ↳ Types Of Banks
- ↳ Banking Services
- ↳ Banking Contracts
- ↳ Letter of Credit Contract
- ↳ Loans & Loan Contracts
- ↳ Payment Systems
- ↳ Islamic Finance

FINANCIAL MARKETS

- ↳ Introduction to Financial Markets
- ↳ Types Of Financial Markets
- ↳ Financial Markets Transaction
- ↳ Professional Players (Individuals & Firms)

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FINANCIAL PRODUCTS (SECURITIES & INVESTMENTS)

- ↳ Types Of financial Instruments
- ↳ Investment Contract/ International Investment Contracts
- ↳ Cash Or Cash Equivalents
- ↳ Stocks, Shares, Equity
- ↳ Bonds (Financial Investment), Debt Instruments
- ↳ Real Estate Financing/Mortgages
- ↳ Derivatives
- ↳ Property & Real Estate Investment
- ↳ Insurance Investment/ Insurance Products
- ↳ Forex Investment
- ↳ Commodity Investment
- ↳ Private Equity
- ↳ Collective Investment Schemes (Funds & Clubs)

MERGERS & ACQUISITIONS

- ↳ Introducing Mergers & Acquisition
- ↳ Takeover
- ↳ Merger & Acquisition Agreement
- ↳ Merger Types
- ↳ Corporate Control
- ↳ M& A Regulation

TAXES

- ↳ Tax Concepts
- ↳ Basis Of Taxation
- ↳ International Taxation
- ↳ Tax Planning
- ↳ International Tax Dispute Resolution
- ↳ Types Of Taxes
- ↳ Tax Policy Objectives
- ↳ Recordkeeping for Individuals and Businesses
- ↳ Income Taxation
- ↳ Tax Withholding
- ↳ Estimated Tax Payments
- ↳ Taxation of Wages, Salary, And Other Compensation
- ↳ Personal Deductions
- ↳ Tax Credits
- ↳ Property Tax
- ↳ Retirement Plans
- ↳ Investment Taxes

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- ↳ Taxation of Entities
- ↳ Real Estate Taxes
- ↳ Gift Tax
- ↳ Taxation of Estates
- ↳ Taxation of Trusts
- ↳ Business Taxes

ACCOUNTING & AUDITING

- ↳ Auditing
- ↳ Accounting Principles, Assumptions & Constraints
- ↳ Financial Statements
- ↳ Financial Ratios, Accounting Ratio, Business Statistics
- ↳ Company Valuation

ASSET PROTECTION

- ↳ Asset Protection
- ↳ Bankruptcy & Insolvency

INSURANCE

- ↳ Insurance Definition
- ↳ Types Of Insurance
- ↳ Insurance Contract

DISPUTE RESOLUTION IN FINANCIAL SERVICES & INVESTMENTS

- ↳ Disputes & Dispute Resolution
- ↳ Types of Disputes
- ↳ Elements of Effective Dispute Resolution Methods
- ↳ Types of Dispute Resolution Methods
- ↳ Litigation
- ↳ Alternative Dispute Resolution (ADR)
- ↳ International Litigation, Conflict Of Laws
- ↳ International Arbitration
- ↳ National Dispute Resolution Institutions
- ↳ International Dispute Resolution Institutions
- ↳ Inter State Dispute Settlement
- ↳ A-Z Definition of Investment Terms

Course Reference Guide

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